



Global Security Verification Report

Facility Name: TAIAN LEGEND GARMENT CO.,LTD

Country:	China	Assessment Stage:	Initial
Date of Verification:	31-Oct-2024	Report Number:	A5195016
Last Verification Date:	NA	Site ID:	F_IAR_151108
Auditor Primary:	Mr. Marco Liu	Service Provider:	Intertek
Auditor Secondary:	NA	Audit Location:	Onsite

OVERALL FACILITY SCORE

83%



PERFORMANCE INDEX BAR



Participating Facilities: 9066

The C-TPAT Security Criteria and Guidelines indicate that "Must" is a requirement of the program participation. "Should" means that the procedure is considered to be an industry "best practice."

PERFORMANCE SUMMARY

Section Name	Score	Must - High	Must - Low	Should - High	Should - Low
Corporate Security	77%	0	3	1	0
Security Vision and Responsibility	100%	0	0	0	0
Risk Assessment	88%	0	1	0	0
Business Partners	85%	0	2	0	0
Cyber Security	93%	0	0	1	0
Transportation Security	93%	0	0	1	0
Conveyance and Instruments of International Traffic Security	93%	0	0	1	0
Seal Security	100%	0	0	0	0
Procedural Security	100%	0	0	0	0
Agricultural Security	100%	0	0	0	0
People and Physical Security	84%	0	0	4	1
Physical Security	86%	0	0	3	1
Physical Access Controls	100%	0	0	0	0
Personnel Security	100%	0	0	0	0
Education, Training and Awareness	93%	0	0	1	0
Overall	83%	0	3	6	1

Intertek's GSV integrates multiple global supply-chain security initiatives, including C-TPAT (Customs Trade Partnership Against Terrorism), PIP (Partners in Protection) and AEO (Authorized Economic Operators).

A. FACILITY PROFILE

A1. FACILITY INFORMATION

Facility Name:	TAIAN LEGEND GARMENT CO.,LTD	Contact Name:	Mr. Niu Wenzhe
Facility Legal Name:	TAIAN LIJIN GARMENT CO., LTD.	Contact Title:	NA
Audit Location:	NANSHANGZHUANG VILLAGE, CULAI TOWN, CUWEN SCENIC DISTRICT, TAIAN CITY, SHANDONG PROVINCE, CHINA.	Email:	nwenzhe@bowins.net
City:	TAIAN	Country:	China

A2. EMPLOYEE INFORMATION

NUMBER OF EMPLOYEES

Range	Actual Total	Permanent	Temporary	Foreign	Migrant
51-100	80(100%)	80(100%)	0(0%)	0(0%)	1(1%)

List of Nationalities: NA

A3. BUSINESS OVERVIEW

Industry:	Apparel	Warehouse customs bonded:	No
Key/Main Product:	Garments	Free trade zone:	No
Facility land size (in Sqm):	6600	Total facility floor size (in Sqm):	2861

Total Nr of Buildings	Distribution	Production	Warehouse	Container Yards	Others
2	0	1	0	0	1

A4. EXPORT LOGISTICS

PERCENTAGE OF GOODS EXPORTED TO US

By Air	By Sea	By Rail	By Truck
0	100	0	0

FACILITY RESPONSIBLE FOR THE RELATIONSHIP WITH THE FOLLOWING TYPE OF LOGISTICS

Air:	Never	Consolidators:	Never
Sea:	Always	Freight Forwarders:	Sometimes
Rail:	Never	NVOCC:	Never
Land Carriers:	Always	Other 3rd party logistics providers:	Never
The companies used vary routes:	Yes	The companies used Global Positioning Satellite (GPS):	No

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The companies used employ security guards: **Yes**

The companies used truck convoys: **No**

The companies used provide vehicle escort: **No**

A5. GENERAL INFORMATION

Brief description of the facility:

TAIAN LEGEND GARMENT CO., LTD. was established in 2010 and located at NANSHANGZHUANG VILLAGE, CULAI TOWN, CUWEN SCENIC DISTRICT, TAIAN CITY, SHANDONG PROVINCE, CHINA. The main products manufactured in this facility were garments. 100% of the products were exported to overseas market. Total 2 buildings were utilized as production workshop, warehouse and office. Total production floor area is 2,861 square meters. Currently, there are 80 employees consisting of 5 males and 75 females.

Brief description of loading process for shipment:

The facility conducted full container loading and used the land carriers selected by themselves. No pickup or partial loaded container was used. Loading process was monitored by designated supervisor and security guards. Physical barrier was used to surround the loading area. And all containers would be delivered to port directly after loading.

Brief description of sealing process:

All seals were provided by the carrier which meet ISO/PAS 17712 compliant. Seal number would be recorded in log. Photo of container and seal would also be recorded.

Brief description of direct shipments to port process:

All containers were delivered to the Qingdao Port directly.

In-country transport services detail:

The facility utilizes one land carrier selected by the company-Qingdao Zhongyuan Logistics Co., Ltd Taian Branch.

Required transit time between audited facility to the port / the next supply chain:

Required transit time from facility to port is within 5 hours.

CCTV details:

Total 18 CCTV cameras were installed in the facility include gate, production workshop, packing area, perimeter wall, cargo handle area etc.

Security guard force details:

The facility had 4 security guards under in house manning the facility 24/7.

B. RESULT DETAILS

The following section includes all findings noted during the on-site audit.

Risk Assessment					88% 
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	1	0	0	1

New Non- Compliances

ID #	Finding	Rating
O0105	The facility does not adopt the "5 Step Risk Assessment Process Guide" in conducting a security risk assessment of their supply chain(s).	Must - Low
% of occurrence at other facilities 7%		Past occurrences at this facility None
Auditor Comments The facility had established documented security risk assessment procedure to conduct security risk assessment periodically, but the facility did not adopt the "5 step Risk Assessment process guide" in conducting a security risk assessment of the supply chain.		
Minimum Security Criteria CTPAT Members must conduct and document the amount of risk in their supply chains. CTPAT Members must conduct an overall risk assessment (RA) to identify where security vulnerabilities may exist. The RA must identify threats, assess risks, and incorporate sustainable measures to mitigate vulnerabilities. The member must take into account CTPAT requirements specific to the member's role in the supply chain.		

Business Partners					85% 
RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	2	0	0	2

New Non- Compliances

ID #	Finding	Rating
O0133	The facility does not require its contractors to conduct self-assessment of their security policies and procedures and share the results of those assessments with the facility.	Must - Low
% of occurrence at other facilities 2%		Past occurrences at this facility None
Auditor Comments The security self-assessment of contractors was not conducted. No relevant records were provided for review.		
Minimum Security Criteria Where a CTPAT Member outsources or contracts elements of its supply chain, the Member must exercise due diligence (via visits, questionnaires, etc.) to ensure these business partners have security measures in place that meet or exceed CTPAT's Minimum Security Criteria (MSC).		

New Non- Compliances

ID #	Finding	Rating
O0134	The facility does not require (a) eligible carriers to demonstrate C-TPAT, AEO, PIP certification and/or (b) ineligible carriers to demonstrate compliance with C-TPAT, AEO, PIP equivalent standards.	Must - Low
% of occurrence at other facilities 6%		Past occurrences at this facility None

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Auditor Comments

The facility did not require its carriers to demonstrate C-TPAT, AEO, PIP certification or compliance with C-TPAT, AEO, PIP equivalent.

Minimum Security Criteria

Where a CTPAT Member outsources or contracts elements of its supply chain, the Member must exercise due diligence (via visits, questionnaires, etc.) to ensure these business partners have security measures in place that meet or exceed CTPAT's Minimum Security Criteria (MSC).

Cyber Security

93%



RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	1	0	1

New Non- Compliances

ID #	Finding	Rating
O0201	The company IT network data are not backed up once a week or as appropriate.	Should - High
% of occurrence at other facilities 14%		Past occurrences at this facility None
Auditor Comments The facility IT network data were not backed up once per week.		
Minimum Security Criteria Data should be backed up once a week or as appropriate. All sensitive and confidential data should be stored in an encrypted format.		

Conveyance and Instruments of International Traffic Security

93%



RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	1	0	1

New Non- Compliances

ID #	Finding	Rating
O0251	The company does not have access to their carrier's GPS fleet monitoring system or track the movement of their shipments.	Should - High
% of occurrence at other facilities 46%		Past occurrences at this facility None
Auditor Comments The facility did not have access to their carrier's GPS fleet monitoring system or track the movement of their shipments.		
Minimum Security Criteria Shippers should have access to their carriers GPS fleet monitoring system, so they may track the movement of their shipments.		

Physical Security

86%



RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	3	1	4

New Non- Compliances

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ID #	Finding	Rating
O0380	The alarm is not system tested regularly.	Should - High
% of occurrence at other facilities 36%	Past occurrences at this facility None	
Auditor Comments The alarm was not system tested regularly due to no automatic intrusion/ alarm system was available in sensitive access areas.		
Minimum Security Criteria Security technology should be utilized to monitor premises and prevent unauthorized access to sensitive areas.		

New Non- Compliances

ID #	Finding	Rating
O0381	The company does not have an automatic intrusion detection or alarm system installed in sensitive/controlled access areas.	Should - High
% of occurrence at other facilities 91%	Past occurrences at this facility None	
Auditor Comments No automatic intrusion detection or alarm system was installed in sensitive/controlled access areas (such as packing workshop, finished goods warehosue etc.).		
Minimum Security Criteria Security technology should be utilized to monitor premises and prevent unauthorized access to sensitive areas.		

New Non- Compliances

ID #	Finding	Rating
O0402	There is no alternative or back-up power source for alarm, lighting and CCTV systems.	Should - Low
% of occurrence at other facilities 40%	Past occurrences at this facility None	
Auditor Comments No alternative or back-up power source was installed for lighting and CCTV system.		
Minimum Security Criteria Security technology systems should be configured with an alternative power source that will allow the systems to continue to operate in the event of an unexpected loss of direct power.		

New Non- Compliances

ID #	Finding	Rating
O0405	CCTV alarms are not used to alert a company to unauthorized access into sensitive areas.	Should - High
% of occurrence at other facilities 52%	Past occurrences at this facility None	
Auditor Comments The CCTV alarms were not used to alert a company to unauthorized access into sensitive areas.		
Minimum Security Criteria If camera systems are deployed, cameras should monitor a facility's premises and sensitive areas to deter unauthorized access. Alarms should be used to alert a company to unauthorized access into sensitive areas.		

Education, Training and Awareness

93%



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RATING	Must - High	Must - Low	Should - High	Should - Low	Total
NEW NON-COMPLIANCES	0	0	1	0	1

New Non- Compliances

ID # O0485	Finding The company does not perform emergency response mock drills.		Rating Should - High
% of occurrence at other facilities 42%		Past occurrences at this facility None	
Auditor Comments No security emergency response mock drill record was provided for review.			
Minimum Security Criteria CTPAT Members should have measures in place to verify that the training provided met all training objectives.			

C. BEST PRACTICES AND RECOMMENDED BEST PRACTICES

CBP describes Best Practices as innovative security measures that exceeds the CTPAT criteria and industry standards. For C-TPAT purposes, a best practice must meet all five of the following requirements, all of which are subject to verification: (1. Senior management support; 2. Innovative technology, process or procedures; 3. Documented process; 4. Verifiable evidence; and, 5. A regular system of checks, balances and accountability.)

Existing Best Practices:

1. Business Partners

- Not applicable

2. Cyber Security

- Not applicable

3. Conveyance and Instruments of International Traffic Security

- For conveyance entries/exits, logs are maintained with name of the guard.

4. Seal Security

- Not applicable

5. Procedural Security

- Loaded containers/trailers' final inspection is conducted.

6. Agricultural Security

- Not applicable

7. Physical Security

- Not applicable

8. Physical Access Controls

- Security guards receive specific training in C-TPAT.

9. Personnel Security

- Not applicable

10. Education, Training and Awareness

- Not applicable

Recommended Best Practices:

1. Business Partners

- The facility should conduct periodic table-top exercises to address security breaches within the supply chain and, if one doesn't already exist, create a "quick response team" to investigate suspicious activities discovered during cargo transportation.
- The facility should perform periodic audits of business partners accompanied by a third-party security firm (these audits may be performed without advance notice). The firm should provide a written assessment of business partners' adherence to C-TPAT minimum security criteria. If non-compliance is discovered, it could be sufficient grounds for terminating the business relationship.
- The facility should provide periodic security awareness training to all of the contractors.

2. Cyber Security

- The facility should equip computers with biometric retina scanners for authentication and identification purposes.
- The facility should install software on employees' laptops that allows management to delete information from hard drives from a remote location.

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- The facility should require computer users to sign an agreement of liability for the use of the company's information systems, and renew these agreements each time a password change is initiated.

3. Conveyance and Instruments of International Traffic Security

- All operators of facilities and conveyances handling cargo should employ appropriate procedures to identify personnel authorized to enter cargo and document processing areas.
- The facility should use a dock locking arm to anchor the container chassis to the loading dock.
- The facility should use tamper-indicative security labels bearing an actual photo of the seal and a serial number, attached to the hinges and between the two doors of the vehicles.

4. Seal Security

- In addition to using a bolt seal, attach a cast iron J-bar device to the locking bar that requires a specialized tool for removal.
- The facility uses multiple ISO/PAS 17712 certified high security seals on all shipments bound to the U.S.

5. Procedural Security

- The facility should schedule all deliveries at distribution centers in advance using an online automated tool, and ensure that upon arrival, drivers provide security guards with shipment-linked delivery numbers for verification.
- The facility should use tamper-evident tape with serial numbers to seal cartons, and verify the serial numbers against the packing list when loading and at the final destination.

6. Agricultural Security

- The facility should consider using alternatives to wood packaging material. The following products are not subject to USDA regulation: Plywood or press board; Plastic pallets; Oriented strand board; Hardboard; Parallel strand lumber; Synthetic foam; Metal frames; Inflated dunnage; Masonite veneer

7. Physical Security

- If the facility is located adjacent to a lake or body of water that forms part of its perimeter, it should install an optical fence above and below the water line to detect waterborne intruders.
- The facility should install a double-layered perimeter fence, creating a secure zone between the two fence lines. Ensure that both fences are equipped with electronic monitoring capabilities, and that the outer fence incorporates underground concrete to deter tunneling.
- The facility should maintain multiple alarm systems that include door contacts, heat and vibration sensors, and seismic movement detectors.
- The facility should position security guard that view towers at each corner of the facility perimeter with sightlines that permit views of activity inside and outside the facility. Make sure the towers are manned at all times.
- Vehicles should be prohibited/prevented from parking near perimeter fencing.

8. Physical Access Controls

- The facility should ensure that as employees enter the facility, their photos are displayed on an electronic access system monitor so that the security guard in the area can verify that the individual entering matches the photo displayed on the monitor.
- The facility should ensure that the exterior doors of buildings are equipped with two- person key systems that require a company manager and security guard to unlock the facility.
- The facility should Issue all visitors thermal-activated visitor ID badges featuring expiration marking/coloring that appears after eight hours.

9. Personnel Security

- Drug screening checks should be conducted.
- The facility should conduct exit interviews as a routine part of the employee termination process, with a counselor on hand to evaluate the likelihood that a terminated employee could pose a retaliation threat.
- The facility should have a fingerprinting document of the identity of all new hires, and provide an employee list to national authorities on an annual basis for additional screening.

10. Education, Training and Awareness

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- A security awareness assessment should be given annually to a random sample of employees to gauge their understanding of the company's general security policy.
- The facility should conduct a semi-annual security awareness training seminar for all U.S. based suppliers, customers, and other business partners.
- The facility should provide online training portal.
- The facility should require new employees to complete a multiple-module security training program. Web-based training should emphasize recognition of internal conspiracies, maintaining cargo security, facility access control, and mail handling procedures. Publish security updates via an intranet.

D. PERFORMANCE TREND ANALYSIS

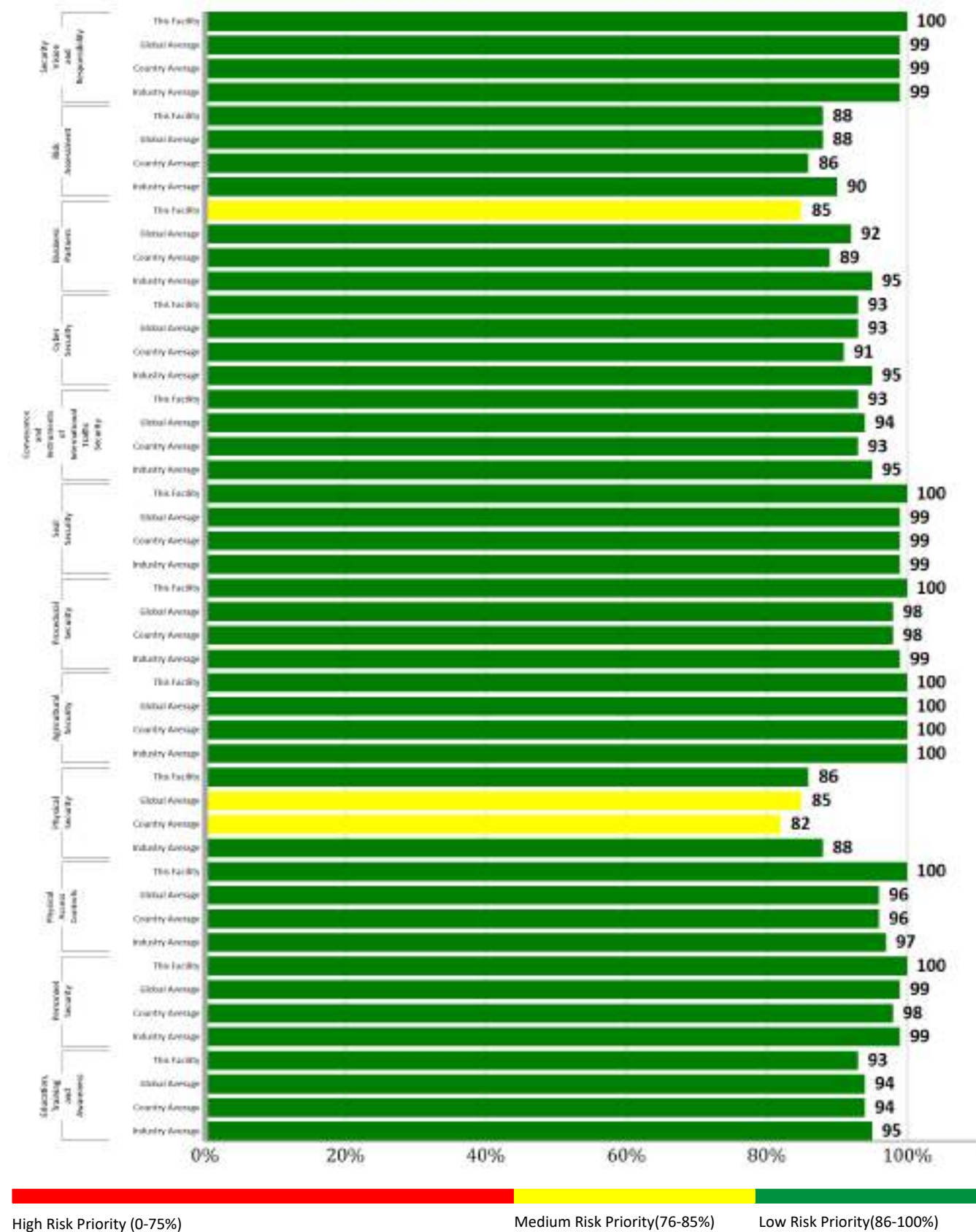
Section Name	Current 31-Oct-2024	Last NA	First NA	Change (Current-Last)	Change (Current-First)
Security Vision and Responsibility	100	NA	NA	NA	NA
Risk Assessment	88	NA	NA	NA	NA
Business Partners	85	NA	NA	NA	NA
Cyber Security	93	NA	NA	NA	NA
Conveyance and Instruments of International Traffic Security	93	NA	NA	NA	NA
Seal Security	100	NA	NA	NA	NA
Procedural Security	100	NA	NA	NA	NA
Agricultural Security	100	NA	NA	NA	NA
Physical Security	86	NA	NA	NA	NA
Physical Access Controls	100	NA	NA	NA	NA
Personnel Security	100	NA	NA	NA	NA
Education, Training and Awareness	93	NA	NA	NA	NA
Overall Score	83	NA	NA	NA	NA

 Advancers
  Constant
  Decliner

E. KEY STRENGTHS AND CHALLENGES

Criteria	Facility Strengths: Facility performance ranks in the top percentile of the population and/or has implemented a best practice process	Global Freq. of Compliance %
Criteria	Facility Challenges: Facility performance ranks in the bottom percentile of the population	Global Freq. of Compliance %

F. COMPARISON BENCHMARK



FACILITY PHOTOS

Facility Name



Facility Name

Main Gate



Main Gate

Loading Area



Loading Area

Packing Area



Packing Area 1



Packing Area 2

Perimeter Fencing



Perimeter Fencing

Finished Goods Warehouse



Finished Goods Warehouse

Container Inspection and Manifest Record



Container Inspection Record



Container Manifest Record

Container Inspection Photos/Records

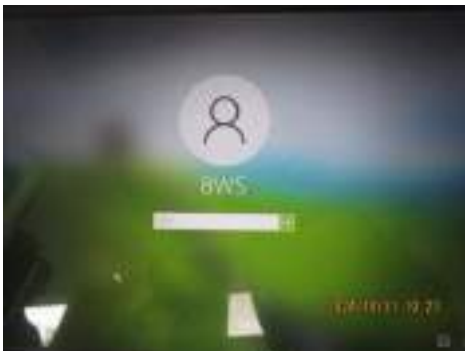


Container Inspection Records

Container Storage Area

No Image Uploaded

Computer Security



Computer Security

Shipping Documents



Shipping Documents

Shipping Log



Shipping Log

Shipment Security Seal



Shipment Security Seal

Affixed Seal On Container



Affixed Seal On Container

Oldest CCTV Recording



Oldest CCTV Recording

CCTV



CCTV System (CCTV Camera)

CCTV Monitoring



CCTV Monitoring

Security Room



Security Room

Vehicle Parking for Employees



Vehicle Parking for Employees

Vehicle Parking for Visitors



Vehicle Parking for Visitors

Intrusion Detection Equipment

No Image Uploaded

Outside Lighting



Outside Lighting

Communication Equipment



Communication Equipment

Security Guard with Uniform



Security Guard with Uniform

Employee with ID Badge



Employee with ID Badge

Visitor's Card



Visitor's Card

Overview



Ovevview 1



Ovevview 2

Others

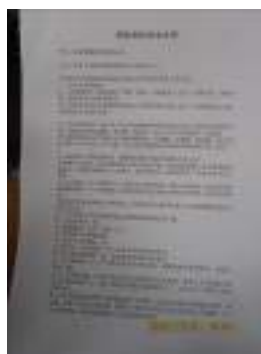


Business License

Non-conformity Photos



The facility had established documented security risk assessment procedure to conduct security risk assessment periodically, but the facility did not adopt the "5 step Risk Assessment process guide" in conducting a security risk assessment of the supply chain.



The facility did not require its carriers to demonstrate C-TPAT, AEO, PIP certification or compliance with C-TPAT, AEO, PIP equivalent.



A table titled '服装鞋帽有限公司' (Clothing and Footwear Co., Ltd.) and '网络数据备份记录' (Network Data Backup Record). It contains columns for '日期' (Date), '备份时间' (Backup Time), '备份内容' (Backup Content), '备份人' (Backup Person), and '备注' (Remarks). The table lists several backup entries with dates ranging from 2023-11-15 to 2023-11-22. A yellow stamp '2023/11/22' is visible at the bottom right.

日期	备份时间	备份内容	备份人	备注
2023-11-15	10:00	网络数据	张三	
2023-11-16	10:00	网络数据	张三	
2023-11-17	10:00	网络数据	张三	
2023-11-18	10:00	网络数据	张三	
2023-11-19	10:00	网络数据	张三	
2023-11-20	10:00	网络数据	张三	
2023-11-21	10:00	网络数据	张三	
2023-11-22	10:00	网络数据	张三	

The facility IT network data were not backed up once per week.

Best Practices



A table titled '服装鞋帽有限公司' (Clothing and Footwear Co., Ltd.) and '装柜检验记录' (Loading Container Inspection Record). It contains columns for '日期' (Date), '检验时间' (Inspection Time), '检验内容' (Inspection Content), '检验人' (Inspection Person), and '备注' (Remarks). The table lists several inspection entries with dates ranging from 2023-11-15 to 2023-11-22. A yellow stamp '2023/11/22' is visible at the bottom right.

日期	检验时间	检验内容	检验人	备注
2023-11-15	10:00	装柜检验	张三	
2023-11-16	10:00	装柜检验	张三	
2023-11-17	10:00	装柜检验	张三	
2023-11-18	10:00	装柜检验	张三	
2023-11-19	10:00	装柜检验	张三	
2023-11-20	10:00	装柜检验	张三	
2023-11-21	10:00	装柜检验	张三	
2023-11-22	10:00	装柜检验	张三	

Loaded Container Inspection Record

DISCLAIMER

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